

Recap

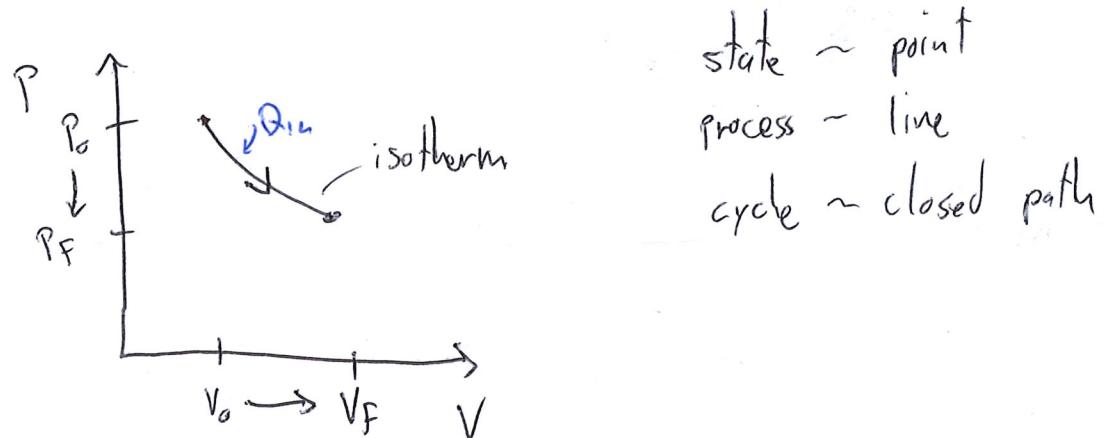
Canonical ensemble  $\rightarrow$  partition function  
 $\rightarrow$  ideal gases  
 $\rightarrow$  engines / refrigerators (therm. cycles)

$$\langle E \rangle = \frac{3}{2} NT \quad S \propto VT^{3/2}$$

$$PV = NT$$

$$\delta \langle E \rangle = TdS - PdV = Q + W$$

PV diagram capture (changing) macro-state



Example: Isothermal expansion

Fixed  $T \rightarrow$  slow for heat exchange

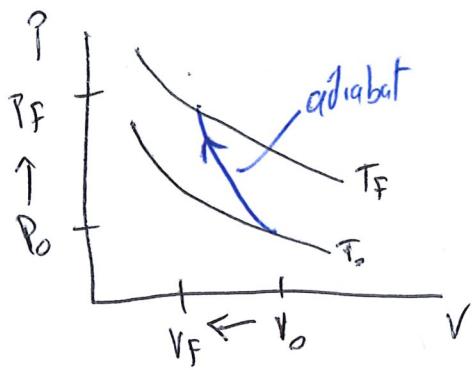
$$T = \frac{P_o V_o}{N} = \frac{P_F V_F}{N} \rightarrow P_F = \left( \frac{V_o}{V_F} \right) P_o < P_o$$

$$\Delta P = P_F - P_o = P_o \left( \frac{V_o}{V_F} - 1 \right) < 0$$

$$E_i \propto \frac{\hbar^2 \pi^2}{2mL^2} \sqrt{V^{2/3}} \rightarrow \langle E \rangle = \frac{3}{2} NT \text{ decreases} \rightarrow \text{heat in}$$

Crossing isotherms:

$$\text{Same } \frac{PV}{N} = T \rightarrow \text{same isotherm}$$



Example:

Adiabatic compression (Fast)

$$Q = 0 = T dS$$

Changes temperature connecting two isotherms

$$\underline{V_0 T_0^{3/2} = V_F \cancel{V_F} T_F^{3/2}} \rightarrow T_F = \left( \frac{V_0}{V_F} \right)^{2/3} T_0 > 1$$

$$\Delta T = \left[ \left( \frac{V_0}{V_F} \right)^{2/3} - 1 \right] \frac{P_0 V_0}{N} > 0$$

$$\underline{V_0^{\frac{2}{3}} \left( \frac{P_0 V_0}{N} \right) = V_F^{\frac{2}{3}} \left( \frac{P_F V_F}{N} \right)} \rightarrow P_F = \left( \frac{V_0}{V_F} \right)^{5/3} P_0$$

$$\Delta P = \left[ \left( \frac{V_0}{V_F} \right)^{5/3} - 1 \right] P_0 > 0$$

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Carnot cycle

Two reservoirs: hot ( $T_H$ ) and cold ( $T_L$ )

Is cycle self-consistent?

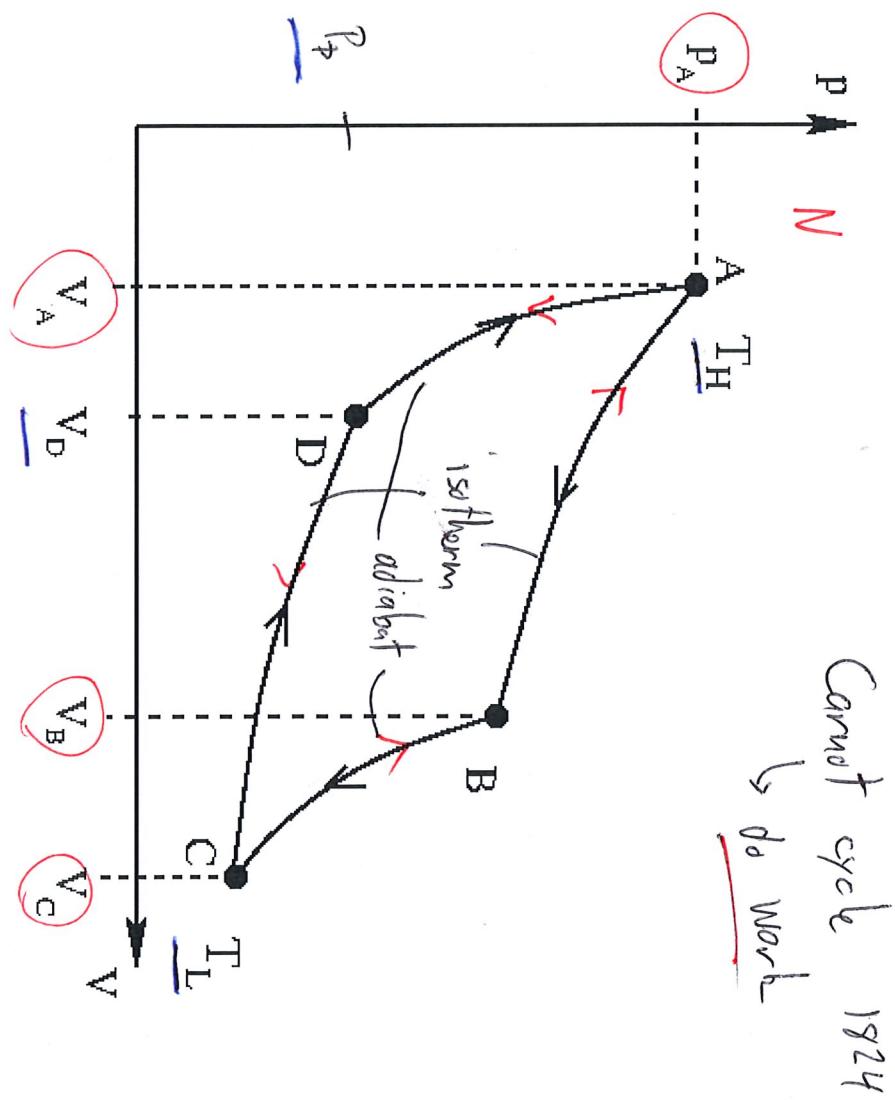
Given initial  $\{V_A, P_A, N\}$ , plus  $V_B$  &  $V_C$ .

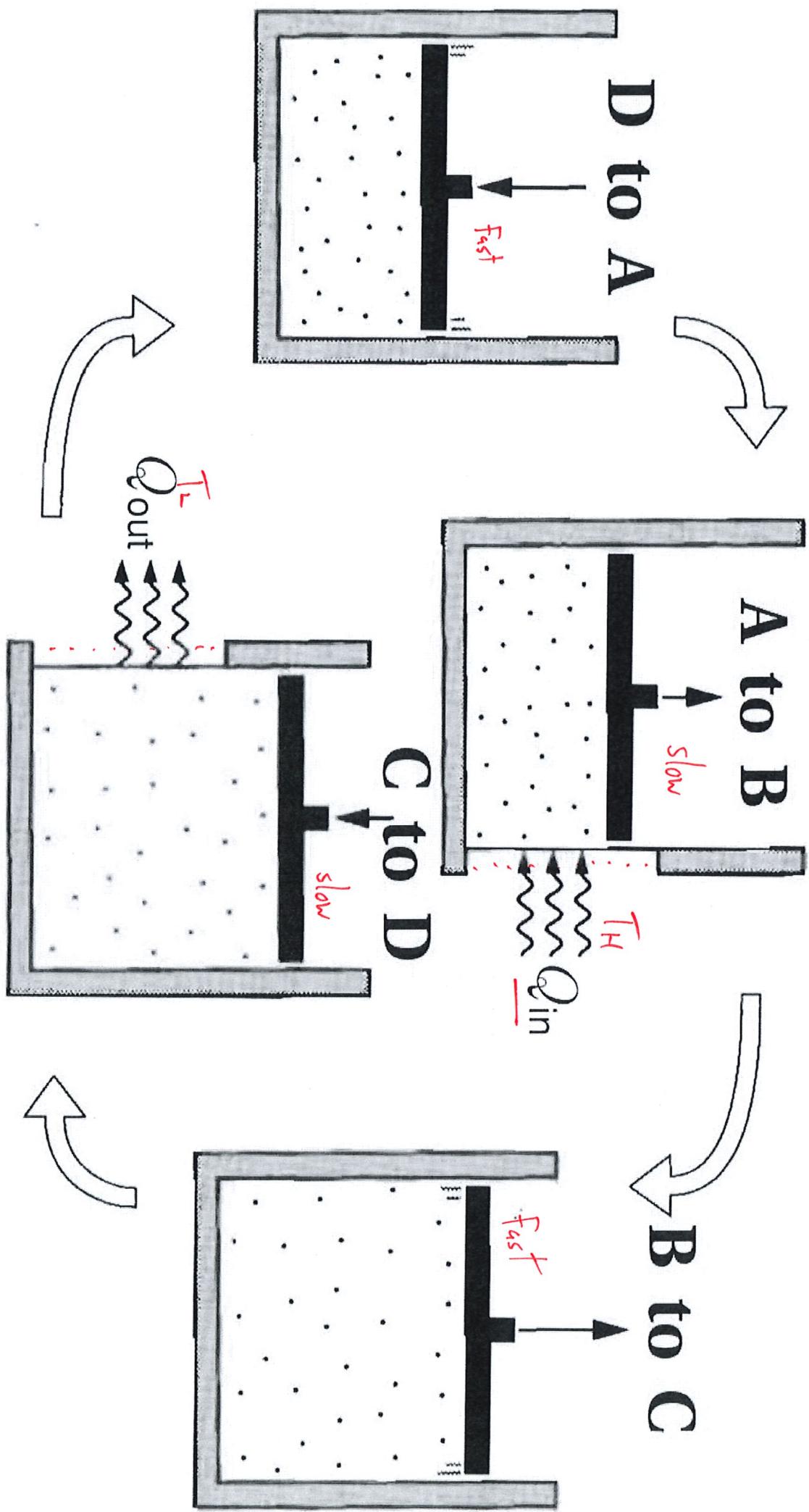
must find correct  $V_D$  to close cycle

$$1) A \rightarrow B \quad T_B = T_H = \frac{P_A V_A}{N}$$

$$\boxed{P_B = \frac{N T_H}{V_B} = \frac{N}{V_B} \left( \frac{P_A V_A}{N} \right) = \left( \frac{V_A}{V_B} \right) P_A}$$

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2)  $B \rightarrow C$

$$S_B = S_C \rightarrow V_B T_H^{3/2} = V_C T_L^{3/2}$$

$$\underline{V_C T_L} = \left(\frac{V_B}{V_C}\right)^{2/3} T_H = \frac{P_A V_A}{N} \left(\frac{V_B}{V_C}\right)^{2/3} < T_H$$

$$N = \frac{P_A V_A}{T_H} = \frac{P_C V_C}{T_L}$$

page 76  $P_C = \left(\frac{V_A}{V_C}\right) \left(\frac{T_L}{T_H}\right) P_A = \left(\frac{V_A}{V_C}\right) \left(\frac{V_B}{V_C}\right)^{2/3} P_A < P_A$

3)  $C \rightarrow D$

$$T_D = T_L$$

$$S_D = S_A \rightarrow V_D T_L^{3/2} = V_A T_H^{3/2}$$

$$V_D = \left(\frac{T_H}{T_L}\right)^{3/2} V_A = \left(\frac{V_B}{V_A}\right) V_A > V_A$$

$$\frac{V_A}{V_D} = \frac{V_B}{V_C} \quad // \quad \frac{V_A}{V_B} = \frac{V_D}{V_C}$$

matching stages

Finally

page 76  $P_D = \frac{N T_L}{V_D} = N \left(\frac{V_B}{V_A} V_B\right) \left(\frac{V_B}{V_C}\right)^{2/3} \frac{P_A}{N} = \left(\frac{V_B}{V_C}\right)^{5/3} P_A < P_A$

Self-consistency gives  $\{P_B, P_C, T_L, P_D, V_D\}$  fixed  
from  $\{N, P_A, V_A, V_B, V_C\}$

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The point: Move heat to do work  
how much? how much?

## Convenience definitions:

Work on system

$$W_{in} = W = - \int P dV > 0$$

Work by system

$$W_{out} = -W = \int P dV > 0$$

Heat into system

$$Q_{in} = Q = ST dS > 0$$

Heat out of system

$$Q_{out} = -Q = -ST dS > 0$$

## Efficiency

$$\eta = \frac{W_{done}}{Q_{in}} = \frac{W_{out} - W_{in}}{Q_{in}}$$

net work done by each iteration per unit heat

Engine  $\rightarrow \eta > 0$  (does work)

First law:  $\Delta\langle E \rangle = Q_{in} - Q_{out} + W_{in} - W_{out} = 0$

$$W_{out} - W_{in} = Q_{in} - Q_{out} \leq Q_{in}$$

$\searrow$  waste heat

$$0 < \eta \leq 1$$

Fraction of heat the engine can convert to work  
(can't win)

## Carnot cycle efficiency

i) A  $\rightarrow$  B

$$W_{AB} = - \int_{V_A}^{V_B} P(V) dV = - \int_{V_A}^{V_B} \frac{N T_H}{V} dV$$

$$= -N T_H \log\left(\frac{V_B}{V_A}\right) = P_A V_A \log\left(\frac{V_A}{V_B}\right) < 0$$

$\downarrow W_{out}$

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$$\Delta\langle E \rangle_{AB} = \frac{3}{2} N (\Delta T)_{AB} = 0 = Q_{AB} + W_{AB}$$

$$Q_{AB} = -W_{AB} > 0 \rightarrow Q_{in}$$

2)  $B \rightarrow C$ 

$$Q_{BC} = 0$$

$$\Delta \langle E \rangle_{BC} = W_{BC} = \frac{3}{2} N (T_L - T_H) = \frac{3}{2} N T_H \left( \frac{T_L}{T_H} - 1 \right)$$

$$= \frac{3}{2} P_A V_A \left[ \left( \frac{V_B}{V_C} \right)^{2/3} - 1 \right] < 0 \rightarrow W_{out}$$

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3)  $C \rightarrow D$ 

$$W_{CD} = - \int_{V_C}^{V_D} \frac{N T_L}{V} dV = P_A V_A \left( \frac{T_L}{T_H} \right) \log \left( \frac{V_C}{V_D} \right)$$

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$$= P_A V_A \left( \frac{V_B}{V_C} \right)^{2/3} \log \left( \frac{V_B}{V_A} \right) > 0$$

$$Q_{CD} = -W_{CD} < 0 \rightarrow Q_{out}$$

W\_in

4)  $D \rightarrow A$ 

$$Q_{DA} = 0$$

$$\Delta \langle E \rangle_{DA} = W_{DA} = \frac{3}{2} N (T_H - T_L) = -W_{BC} > 0 \rightarrow W_{in}$$

$$\eta = \frac{W_{out} - W_{in}}{Q_{in}} = \frac{-W_{AB} - W_{BC} - W_{CD} - W_{DA}}{-W_{AB}} = 1 + \frac{W_{CD}}{W_{AB}}$$

$$W_{out} = -W_{AB} - W_{BC} > 0$$

$$W_{in} = W_{CD} + W_{DA} > 0$$

$$Q_{in} = Q_{AB} = -W_{AB} > 0$$

$$\eta = 1 + \frac{\cancel{P_A V_A} \left( \frac{T_L}{T_H} \right) \log \left( \frac{V_B}{V_A} \right)}{\cancel{P_A V_A} \log \left( \frac{V_A}{V_B} \right)} = 1 - \frac{T_L}{T_H} \quad T_L < T_H$$

Check  $0 < \eta < 1$ 

$$\frac{T_L}{T_H} \rightarrow 0$$

single isotherm

$$\frac{T_L}{T_H} \rightarrow 0$$

absolute zero  
infinitely hot

## General results

$\frac{T_L}{T_H} \rightarrow 1$  always means  $\eta \rightarrow 0$   
No heat flow to do work

Larger  $T_H$  vs.  $T_L \rightarrow$  more efficient

$$Q = T dS$$

First law:  $W_{out} - W_{in} = Q_{in} - Q_{out}$

$$\eta = \frac{Q_{in} - Q_{out}}{Q_{in}} = 1 - \frac{Q_{out}}{Q_{in}} = 1 - \frac{T_L \Delta S_{out}}{T_H \Delta S_{in}}$$

to cold res.  
from hot res.

After each iteration, same  $S_A$  in system

$\Delta S_{out}$  added to cold res.

$\Delta S_{in}$  removed from hot res.

Second law:  $\Delta S = \Delta S_{out} - \Delta S_{in} \geq 0$

$$\frac{\Delta S_{out}}{\Delta S_{in}} \geq 1 \quad \frac{Q_{out}}{Q_{in}} \geq \frac{T_L}{T_H}$$

$$\rightarrow \eta \leq 1 - \frac{T_L}{T_H} < 1$$

Maximum efficiency  $\eta < 1 \rightarrow$  can't break even

Carnot cycle gives max. possible  $\eta$

Not used... too slow!

Reverse Carnot cycle  $\rightarrow$  put in work to remove heat  
from cold res.

$\rightarrow$  Refrigerator

$$\text{Coefficient of performance} : \frac{\dot{Q}_{in}}{\dot{W}_{in} - \dot{W}_{out}} = \frac{\dot{Q}_{in}}{\dot{Q}_{out} - \dot{Q}_{in}} = \frac{1}{\frac{\dot{Q}_{out}}{\dot{Q}_{in}} - 1}$$

$$\dot{Q}_{in} = T_L \Delta S_{in}$$

$$\dot{Q}_{out} = T_H \Delta S_{out}$$

$$\text{Second law} \rightarrow \frac{\dot{Q}_{out}}{\dot{Q}_{in}} \geq \frac{T_H}{T_L}$$

$$\rightarrow COP \leq \frac{1}{\frac{T_H}{T_L} - 1} = \frac{T_L}{T_H - T_L}$$

Typical  $COP \sim 5-6$

(maximized by Carnot cycle)

## Grand-canonical ensemble

Micro-canonical directly conserve energy & particle #  
complete isolation

Canonical instead fix temperature via thermal reservoir

Now allow both heat & particle exchange  
via particle reservoir

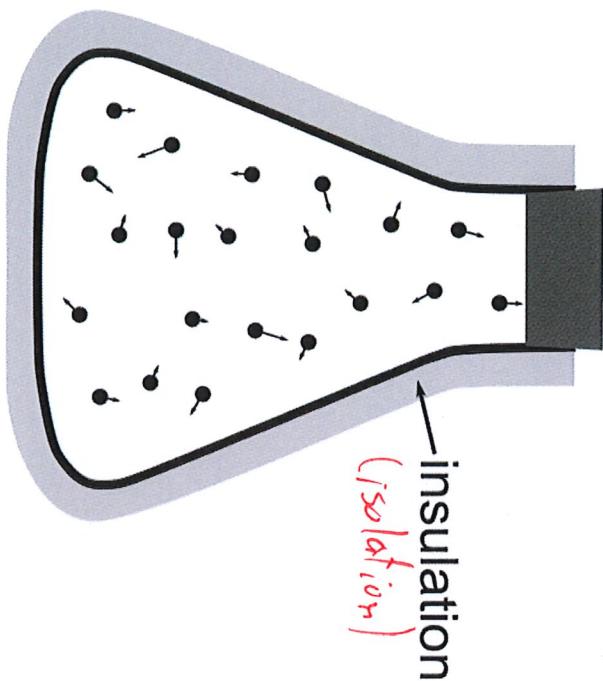
What is fixed due to particle exchange?

$$\text{Recall } \frac{1}{T} = \left. \frac{\partial S}{\partial E} \right|_N$$

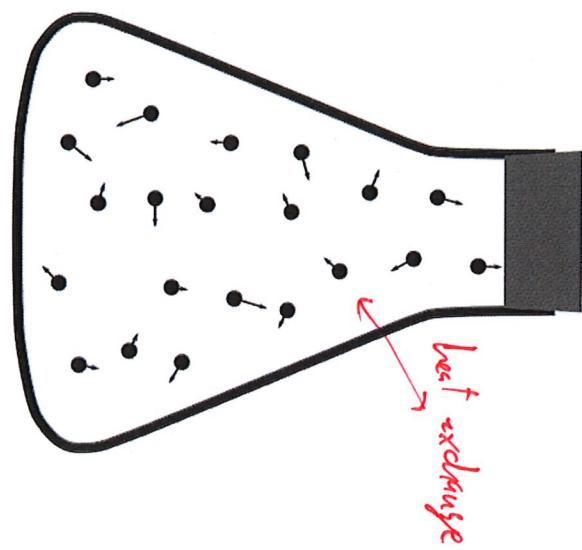
Swap  $E \leftrightarrow N$  to define chemical potential

$$\mu = -T \left. \frac{\partial S}{\partial N} \right|_E$$

**Microcanonical  
(const. N E)**



**Canonical  
(const. N T)**



**Grand Canonical  
(const.  $\mu$  T)**

